

## Attorney Docket No. BROW-002/00US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of David T. SHUPING et al.

Serial No.:

10/007,207

Examiner:

Unassigned

Confirmation No.: 4018

Art Unit:

2173

RECEIVED

Filed:

November 10, 2001

MAR 2 1 2002

For:

SYSTEM AND METHOD FOR WEB BROWSING

**Technology Center 2100** 

Commissioner for Patents Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

[X]	the IDS is required.				
[]	In accordance with 37 C.F.R. §1.97(c), also enclosed is:				
	[] the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or				
	[] a statement as specified in 37 C.F.R. §1.97(e).				
	In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.				
[]	Check No in the amount of \$ for the total fee is attached.				
[]	A return receipt postcard is also enclosed.				
[]	Please charge \$ to Deposit Account No. 50-1283 for the total fee. Thi paper is being submitted in duplicate.				

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

Dated: March 19, 2002

Cooley Godward LLP ATTN: Patent Group One Freedom Square Reston Town Center 11951 Freedom Drive Reston, VA 20190-5601

Tel: (703) 456-8000 Fax: (703) 456-8100 Respectfully submitted, COOLEY GODWARD LLP

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Rick A. Toering

Reg. No. 43,195

By:

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## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §\$1.97 and 1.98.

- Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- [x] No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. 09/498,079 to which the above-identified application claims priority under 35 U.S.C. §120.
- [] Publication(s) \_\_\_ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to \_\_\_ application serial no. \_\_\_ and mailed on \_\_\_.
- [] Enclosed is a copy of a non-English publication(s) \_\_\_. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- [] Enclosed is a copy of a non-English publication(s) \_\_\_. English language publication \_\_\_ (copy enclosed) claims priority from this non-English publication.

Page 2

IJ	English translation is not available.
	Enclosed is an English translation of non-English publication(s)cited in the attached Form PTO/SB/08A.
[]	Enclosed is a copy of pending patent Application Serial No

This Information Disclosure Statement is filed within any one of the following time periods:

- [] within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- [] within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- [x] before the mailing date of a first office action on the merits; or
- [] before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: March 19, 2002

Cooley Godward LLP ATTN: Patent Group One Freedom Square Reston Town Center 11951 Freedom Drive Reston, VA 20190-5601

Tel: (703) 456-8000 Fax: (703) 456-8100 Respectfully submitted, COOLEY GODWARD LLP

By:

Rick A. Toering Reg. No. 43,195



Substitute for form 1449A/PTO		Complete if Known	RECEIVE
	Application Number	10/007,207	JED TED
INFORMATION DISCLOSURE	Filing Date	November 10, 2001	MADO
STATEMENT BY APPLICANT	First Named Inventor	David T. SHUPING	2 1 2002
	Group Art Unit	2173	Technolo-
(use as many sheets as necessary)	Examiner Name	Unassigned	Center 2100
	Attorney Docket Number	BROW-002/0011S	

MAR 1 9 201	200	·	U.S. P.	ATENT DOCUMENTS	
	W/	U.S. Patent Document			Date of Publication of Cited
		Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Document MM-DD-YYYY
	1	5,796,393		MacNaughton et al.	08-18-1998
	2	5,838,326		Card et al.	11-17-1998
	3	5,978,817		Giannandrea et al.	11-02-1999
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initiais*	INO.	Office <sup>3</sup>	Number <sup>4</sup>	Kind Cod (if know		of Cited Document MM-DD-YYYY	T <sup>6</sup>
	13	wo	97/29414	A2		08-14-1997	

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	14	GEORGE ROBERTSON et al.: "Data Mountain: Using Spatial Memory for Document Management," Proceeding of the 11th Annual Symposium on User Interface Software and Technology, November 1-4, 1998, San Francisco, CA, pp. 153-162.	
	15	"Conference on Human Factors and Computing Systems Vancouver, Canada April 13-18, 1996," ACM Digital Library, http://www.acm.org/pubs/citations/proceedings/chi/238386/p111-card/, 2 pages	<u>, , , , , , , , , , , , , , , , , , , </u>
	16	STUART K. CARD, et al., "The WebBook and the Web Forager" An Information Workspace for the World-Wide-Web," http://www.acm.org/sigchi/chi96/proceedings/papers/Card/skc1txt.html, 13 pages	

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Examiner	Date
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number.

<sup>&</sup>lt;sup>2</sup> See attached Kinds of U.S. Patent Documents.

<sup>&</sup>lt;sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>&</sup>lt;sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.